ATTORNEY'S DOCKET NUMBER

FORM PTO-1390 (Modified) U.S. PATENT AND TRADEMARK OFFICE; U.S. DEPARTMENT OF COMMERCE TRANSMITTAL LETTER TO THE UNITED STATES

DESIGNATED/ELECTED OFFICE (DO/EO/US)

133697-0002 U.S. APPLICATION NO. (If known, see 37 CFR 1.5) 10/544088

CONCERNING A SUBMISSION UNDER 35 U.S.C. 371

INTERNATIONAL APPLICATION NO. PCT/CN2004/000086 INTERNATIONAL FILING DATE 30 January 2004

PRIORITY DATE CLAIMED
30 January 2003

TITLE OF INVENTION

LIPOSOMES CONTAINING ASIATICOSIDE AND THE USES THEREOF

Jiann	ning (T(S) FOR DO/EO/US CHEN, Luo LU, Shen GAO, Huifen LIN, Shaomin WEI, Yangmei ZHANG, Huiliang LI, Yanqiang ZHONG, Qing SHI, UO, Fei GUAN, Wei WANG, Laiji MA and Juan GU					
Applic	ant he	erewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:					
1.	\boxtimes	This is a FIRST submission of items concerning a submission under 35 U.S.C. 371.					
2.		This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371.					
3.		This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (24) indicated below.					
4.		The US has been elected (Article 31).					
5.	\boxtimes	A copy of the International Application as filed (35 U.S.C. 371 (c)(2))					
		a. is attached hereto (required only if not communicated by the International Bureau).					
		b. has been communicated by the International Bureau.					
		c. is not required, as the application was filed in the United States Receiving Office (RO/US).					
6.	\boxtimes	An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).					
		a. 🗵 is attached hereto.					
		b. has been previously submitted under 35 U.S.C. 154(d)(4).					
7.	\boxtimes	Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3))					
		a. 🗵 are attached hereto (required only if not communicated by the International Bureau).					
		b. have been communicated by the International Bureau.					
		c. have not been made; however, the time limit for making such amendments has NOT expired.					
		d. have not been made and will not be made.					
8.	\boxtimes	An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).					
9.	\boxtimes	An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).					
10.	Ø	An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).					
11.	\boxtimes	A copy of the International Preliminary Examination Report (PCT/IPEA/409).					
12.	\boxtimes	A copy of the International Search Report (PCT/ISA/210).					
lt	ems 1	3 to 23 below concern document(s) or information included:					
13.	\boxtimes	An Information Disclosure Statement under 37 CFR 1.97 and 1.98.					
14.		An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.					
15.	\boxtimes	A FIRST preliminary amendment.					
16.		A SECOND or SUBSEQUENT preliminary amendment.					
17.	\boxtimes	A substitute specification.					
18.	\boxtimes	A power of attorney and/or change of address letter.					
19.		A computer-readable form of the sequence listing in accordance with PCT Rule 13 <i>ter</i> .2 and 37 CFR 1.821 - 1.825.					
20.	\boxtimes	A second copy of the published International Application under 35 U.S.C. 154(d)(4).					
21.		A second copy of the English language translation of the International Application under 35 U.S.C. 154(d)(4).					
22.	\boxtimes	Express Mail Label No. EV 468352134 US					
23.	\boxtimes	Other items or information:					
		Substitute Specification — Clean and Marked Up Copies Written Opinion of the International Searching Authority in English and Chinese Response Letter dated 07/19/2004 to the Written Opinion with Amendment on Claims under PCT Rule 19 Fee Transmittal and Return Postcard					

JC20 Rec'd PCT/PTO 2 9 JUL 2005 1390 (Rev. 02-2005)

Approved for use through 3/31/2007. OMB 0651-0021

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

INTERNATIONAL APPLICATION NO. ATTORNEY'S DOCKET NUMBER 133697-0002 PCT/CN2004/000086 **CALCULATIONS PTO USE** The following fees are submitted: \$300 \$300.00 \boxtimes If International preliminary examination report prepared by USPTO and all claims \$200.00 satisfy provisions of PCT Article 33(1)-(4)..... \$100 \$200 Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to \$100 \$400 \$400.00 \$500 All other situations. \$900.00 TOTAL OF 24, 25 and 26 = Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof. Number of each additional 50 or RATE **Total Sheets** Extra Sheets fraction thereof (round up to a whole \$ \$0.00 -100 =/50 = \$250.00 Surcharge of \$130.00 for furnishing the oath or declaration later than months from the \$ \$130.00 earliest claimed priority date (37 CFR 1.492(e)). RATE NUMBER EXTRA NUMBER FILED CLAIMS \$ \$0.00 \$50.00 Total claims 20 - 20 = 0 x 3 х \$200.00 \$ \$0.00 Independent claims - 3 = 0 MULTIPLE DEPENDENT CLAIMS (if applicable) П \$360.00 \$ \$0.00 TOTAL OF ABOVE CALCULATIONS = \$ \$1,030.00 Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are \$ \$0.00 reduced by 1/2. SUBTOTAL = \$ \$1,030.00 Processing fee of \$130.00 for furnishing the English translation later than 30 months from \$ \$0.00 the earliest claimed priority date (37 CFR 1.492(f)). \$1,030.00 \$ TOTAL NATIONAL FEE = Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be \$ \$0.00 accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40 per property \$1,030.00 TOTAL FEES ENCLOSED = Amount to be Amount to be \$ to cover the above fees is enclosed. a. A check in the amount of \$ Please charge my Deposit Account No. \$1,030.00 to cover the above fees. 12-2136 in the amount of A duplicate copy of this sheet is enclosed. The Director is hereby authorized to charge any additional fees which may be required, or credit any overpayment . A duplicate copy of this sheet is enclosed. to Deposit Account No. 12-2136 Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card d. □ information should not be included on this form. Provide credit card information and authorization on PTO-2038. NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status. SEND ALL CORRESPONDENCE TO: Michael S. Gzybowski SIGNATURE **BUTZEL LONG** Michael S. Gzybowski 350 South Main Street . NAME Suite 300 Ann Arbor, MI 48104 32,816 REGISTRATION NUMBER

"EXPRESS MALL" COVERNO 1770 29 JUL 2005

Date: July 29, 2005

Mail Stop PCT Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Re:

APPLICANTS:

Jianming CHEN, Luo LU, Shen GAO, Huifen LIN, Shaomin WEI, Yangmei

ZHANG, Huiliang LI, Yanqiang ZHONG, Qing SHI, Yiguang GUO, Fei GUAN,

Wei WANG, Laiji MA and Juan GU

TITLE OF INVENTION:

LIPOSOMES CONTAINING ASIATICOSIDE AND THE USES THEREOF

Sir:

Forwarded herewith is the above-identified application, consisting of the following:

Express Mail Cover Letter
Certificate of Mailing by "Express Mail"

Transmittal Letter to the U.S. Designated/Elected Office Concerning a Filing

Under 35 U.S.C. 371 - In Duplicate

PCT Publication of International Application No. PCT/CN2004/000086 (Publication No. PCTWO2004-067012 A1)

Translation of International Application No. PCT/CN2004/000086 (Publication No. PCTWO2004-067012 A1) into English Including Specification (7 pages), Claims (2 pages) and Abstract (1 page)

English translation of the amended Claims 1-9 under PCT Rule 34 (2 pages)

Substitute Specification - Clean Copy (8 pages)

Substitute Specification - Marked-Up Copy (10 pages)

Preliminary Amendment (9 pages)

PCT/USA National Declaration and Power of Attorney (5 pages)

International Search Report (PCT/ISA/210) in English and Chinese (2 pages)

Written Opinion of the International Searching Authority in English and Chinese (8 pages)

Response Letter dated July 19, 2004 to the Written Opinion, with Amendment on Claims under PCT Rule 19 (2 pages)

International Preliminary Report on Patentability, together with the Notification of Transmission (form PCT/IPEA/416 and form PCT/IPEA/409) and amended Claims under PCT Rule 34 in Chinese (6 pages)

Information Concerning Elected Offices Notified of Their Election (Form PCT/IB/332) (1 page)

Information Disclosure Statement (2 pages)

Information Disclosure Statement (PTO-1449) with Cited References

Fee Transmittal (1 page)

Return Post Card

Respectfully submitted,

Michael S. Gzybov

Reg. No. 32,816

Attorney for Applicant

BUTZEL LONG 350 South Main Street Suite 300 Ann Arbor, MI 48104 734-995-3110 (ph)

"EXPRESS MAIL" Mailing No. EV 468352134 US

Date of Deposit:

July 29, 2005

I hereby certify that this paper is being deposited with The United States Postal Service "EXPRESS MAIL

POST OFFICE TO ADDRESSEE" service under

37 CFR 1.10 on the date indicated above and is addressed

to the Mail Stop PCT, Commissioner for Patents,

P.O. Box 1450, Alexandria, VA 22313-1450

Marilynn M. Peterson

		JC20 Rec'd Pl	CT/PTO 2.9	JUL 2009						
CERTIFICATE OF	MAILING BY "EX	PRESS MAIL" (37 CFR 1.10)	Doc	ket No.						
Applicant(s): Jianming	CHEN, et al		1336	97-0002						
Application No.	Filing Date	Examiner	Customer No.	Group Art Unit						
Rの/学帯幣088	July 29, 2005	Unknown	35684	Unknown						
Invention: LIPOSOM	ES CONTAINING ASIA	FICOSIDE AND THE USES THERE	OF							
l										
I certify that the follo	owing correspondence	2								
International Application No. PCT/CN2004/000086 (Publication No. PCTWO2004-067012 A1), Translation of International Application No. PCT/CN2004/000086 (Publication No. PCTWO2004-067012 A1) into English Including Specification (7 pages), Claims (2 pages) and Abstract (1 page), English translation of the amended Claims 1-9 under PCT Rule 34 (2 pages), Substitute Specification - Clean Copy (8 pages), Substitute Specification - Marked-Up Copy (10 pages), Preliminary Amendment (9 pages), PCT/USA National Declaration and Power of Attorney (5 pages), International Search Report (PCT/ISA/210) in English and Chinese (2 pages), Written Opinion of the International Searching Authority in English and Chinese (8 pages), Response Letter dated July 19, 2004 to the Written Opinion with Amendment on Claims under PCT Rule 19 (2 pages), International Preliminary Report on Patentability, together with the Notification of Transmission (form PCT/IPEA/416 and form PCT/IPEA/409) and amended Claims under PCT Rule 34 in Chinese (6 pages), Information Concerning Elected Offices Notified of Their Election (Form PCT/IB/332) (1 page), Information Disclosure Statement (2 pages), Information Disclosure Statement (PTO-1449) with Cited Reference, Fee Transmittal (1 page) and Return Post Card is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on July 29, 2005 (Date)										
		Marilynn M (Typed or Printed Name of Perso								
		- Mulynn C) Iles							
		(Signature of Person Mail	ing Correspondence)							
•		EV 468352								
		("Express Mail" Mailis	ng Label Number)							
	Note: Each pape	r must have its own certificate of mailing.								

JC20 Rec'd PCT/PTO 2 9 JUL 2005

PTO/SB/17 (12-04v2)

Doc Code:

Approved for use through 07/31/2006. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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Effec	Complete if Known ,											
Fees pursuant to the Consoli	Application Nu	ımber	Unkham / 544088									
FEE TRANSMITTAL				Filing Date		July 29, 2005						
for F	Y 200	5		First Named In	nventor	Jianming CHEN, et al						
			4.07	Examiner Nan	ne	Unknown						
Applicant claims sma	all entity status	. See 37 CFR	1.27	Art Unit	nit Unknown							
TOTAL AMOUNT OF	PAYMENT	(\$) \$1,0	030.00	Attorney Dock	et No.	133697	7-0002					
METHOD OF PAYMENT (check all that apply)												
☐ Check ☐ Credi	it Card	Money Order	☐ Non	ne 🔲 Oth	er (please	e identify)):					
Deposit De	posit Account	Number:	12-2136	Deposi	t Accour	nt Name	: <u>BU</u>	TZEL LONG				
For the above-identified	d deposit accoun	t, the Director is	hereby aut	thorized to: (check	all that ap	ply)						
Charge	Charge fee(s) indicated below Charge fee(s) indicated below, except for the filing fee											
		ee(s) or any unde	erpayment	of Cred	dit any ove	erpaymen	its					
fee(s)	under 37 CFR 1.	16 and 1.17 become public.	Credit car	 rd information sh	ould not	be includ	ded on this for	m. Provide credit card				
WARNING: Information on information and authorizat	ion on PTO-203	8.										
FEE CALCULATION												
1. BASIÇ FILING, SEAI												
	FILING F	EES Small Entity	SEAR	CH FEES Small Entity		XAMIN	ATION FEES Small Entity					
Application Type	Fee (\$)	Fee (\$)	Fee (\$)			ee (\$)	Fee (\$)	Fees Paid(\$)				
Utility	300	150	500	250	_	200	100					
Design	200	100	100	50		130	65					
Plant	200	100	300	150		160	80					
Reissue	300	150	500			600	300					
Provisional	200	100	0	0		0	0					
2. EXCESS CLAIM FEE	=9							Constitution				
Fee Description	_0						Fee (\$)	Small Entity Fee (\$)				
Each claim over 20 (incl	luding Reissue	s)					50	25				
Each independent claim	over 3 (includ	ing Reissues)					200	100				
Multiple dependent claim	ns						360	180				
								Dependent Claims				
Total Claims	Extra Claim			Fee Paid (\$)			<u>Fee (\$)</u>	Fee Paid (\$)				
20 or HP				= \$0.00				 				
HP = highest number of total			٠.	Fee Paid (\$)								
Indep. Claims - 3 or HP	Extra Claims		00.00_ =	= \$0.00								
HP = highest number of inde 3. APPLICATION SIZE	pendent claims p	_										
If the specification and di 37 CFR 1.52(e)), the app See 35 U.S.C. 41(a)(1)(0	rawings excee	e due is \$250	f paper (e (\$125 for	excluding electrons small entity) for	onically f reach a	iled seq Iditional	uence or com 50 sheets or	puter listing under fraction thereof.				
Total Sheets	Extra Shee		mber of e	ach additional 50	or fraction	n thereo	of Fee (Fee Paid (\$)				
100		/ 50	0	(round up			x \$250.0					
4. OTHER FEE(S)								Fee Paid (\$)				
Non-English specification, \$130 fee (no small entity discount) Other (e.g., late filing surcharge): BASIC NATIONAL PHASE FILING FEE& LATE OATH SURCHARGE \$1,030.00												
SUBMITTED BY	00 1 1	\sim										
Signature	Tickael .	Sym		Registration No. Attorney/Agent)	32,8	316	Telephone	734.995.3110				
Name (Brint/Time)		Michael	S Czybo	· - · - ·			Date	July 20, 2005				

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.